

08/28/01

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EXPRESS MAIL LABEL NO:  
EL579666525US

GUNNISON, MCKAY & HODGSON, L.L.P.  
GARDEN WEST OFFICE PLAZA, SUITE 220  
1900 GARDEN ROAD  
MONTEREY, CALIFORNIA 93940  
(831) 655-0880  
FACSIMILE (831) 655-0888

August 27, 2001

Attorney Docket No.: G0057

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**NEW PATENT APPLICATION TRANSMITTAL LETTER**  
**(37 C.F.R. §1.53(b))**

Transmitted herewith for filing is an original (nonprovisional) patent application of:

**Inventor(s):** Thomas P. Glenn, Roy Dale Hollaway, and Steven Webster

**Entitled:** VCSEL Package and Fabrication Method

Enclosed are the following papers as required for a filing date under 37 C.F.R. §1.53(b):

- 17 Pages Description;
- 6 Pages Claims;
- 1 Page Abstract; and
- 3 Drawing Sheets (Informal).

Other papers enclosed include:

- X Return Receipt Postcard;
- X Check for \$1,118.00 ;
- 4 Pages Combined Declaration For Patent Application and Power of Attorney;

09940103-032701



New Patent Application Transmittal Letter  
Attorney Docket No. G0057

- 1 Pages Recordation Form Cover Sheet;  
3 Pages Assignment of the invention to Amkor  
Technology, Inc.;  
1 Page Request and Certification Under 35 U.S.C.  
122(b) (2) (B) (i);  
3 Pages Petition to Make Special Pursuant to 37  
CFR 1.102(c) with Exhibit A attached

| Fee Calculation  |                 |                        |                 |           |            |
|--|-----------------|------------------------|-----------------|-----------|------------|
| Claims   | Number<br>Filed | Basic Fee<br>Allowance | Number<br>Extra | Rate      | Basic Fee  |
|  |                 |                        |                 |           | \$710.00   |
| Total No.<br>of Claims   | 36              | - 20 =                 | 16              | X \$18.00 | \$ 288.00  |
| No.<br>Independent<br>Claims   | 4               | - 3 =                  | 1               | X \$80.00 | \$ 80.00   |
| Multiple Dependent Claims  |                 |                        |                 | \$270.00  | 0.00       |
| Total of above<br>Calculation  |                 |                        |                 |           | \$1078.00  |
| Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity) |                 |                        |                 |           | 1.0        |
| Filing Fee   |                 |                        |                 |           | \$1,078.00 |

**FEE PAYMENT**


Enclosed are:

|                            |                   |
|----------------------------|-------------------|
| Filing Fee                 | \$1,078.00        |
| Assignment Recording Fee   | 40.00             |
| <b>Total Fees Enclosed</b> | <b>\$1,118.00</b> |

A check in the amount of \$1,118.00 is enclosed.

The Commissioner is hereby authorized to charge any additional  
fees which may be required, or credit any overpayment to  
Deposit Account No. 50-0553.

Respectfully submitted,

  
Serge J. Hodgson  
Attorney for Applicant(s)  
Reg. No. 40,017

10/28/01 EOT/04660

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PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

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**REQUEST AND CERTIFICATION**

**UNDER**

**35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Thomas P. Glenn

Title

VCSEL PACKAGE AND FABRICATION  
METHOD

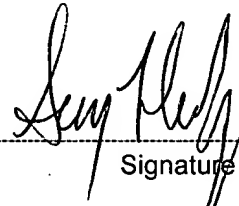
Atty Docket Number

G0057

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 27, 2001

Date



Signature

Serge J. Hodgson

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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